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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/835040 ✓
Filing Date	04/13/2001
First Named Inventor	J. Roberts
Group Art Unit	N/A
Examiner Name	N/A
Total Number of Pages in This Submission	Attorney Docket Number M0000-1001

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 1449 Copies of references cited
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Robert Plotkin, Esq.
Signature	
Date	05/14/2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: **05/14/2001**

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Date	05/14/2001

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ATTORNEY'S DOCKET NO: M0000-1001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

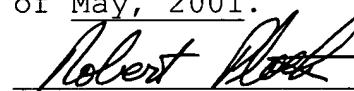
Applicant: J. Roberts  
Serial No: 09/835040  
Filed: 04/13/2001  
For: Method and Apparatus for Force-Based Touch Input  
Examiner: N/A  
Art Unit: N/A  
Batch No: N/A

18 Westminster Street  
Somerville, MA 02144  
May 14, 2001

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the 14th day of May, 2001.

  
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Signature

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Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

To Whom It May Concern:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed:

1. within three months of the filing date of a National Application; and
2. before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449.

The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Remarks

A copy of each of the above-identified information is enclosed unless otherwise indicated on the attached form PTO-1449. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been

performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

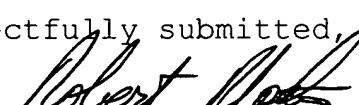
By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

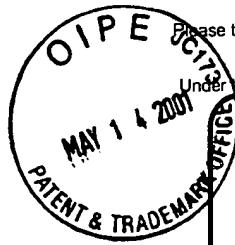
By:

  
Robert Plotkin, Esq.  
Registration No. 43,861  
18 Westminster Street  
Somerville, MA 02144  
(617) 591-2111

Docket No. **M0000-1001**

Dated: 5/14/2001





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Substitute for form 1449A/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 3

***Complete if Known***

<b>Application Number</b>	09/835040
<b>Filing Date</b>	04/13/2001
<b>First Named Inventor</b>	J. Roberts
Group Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	M0000-1001

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
1	3,657,475	B1		Peronneau et al.	04/18/1972	
2	4,089,036	B1		Geronime	05/09/1978	
3	4,121,049	B1		Roeber	10/17/1978	
4	4,340,777	B1		DeCosta et al.	07/20/1982	
5	4,355,202	B1		DeCosta et al.	10/19/1982	
6	4,389,711	B1		Hotta et al.	07/21/1983	
7	4,484,179	B1		Kasday	11/20/1984	
8	4,495,434	B1		Diepers et al.	01/22/1985	
9	4,511,760	B1		Garwin et al.	04/16/1985	
10	4,542,375	B1		Alles et al.	09/17/1985	
11	4,550,384	B1		Kimura	10/29/1985	
12	4,558,757	B1		Mori et al.	12/17/1985	
13	4,675,569	B1		Bowman et al.	06/23/1987	
14	4,697,049	B1		Peemoller et al.	09/29/1987	
15	4,745,565	B1		Garwin et al.	05/17/1988	
16	4,771,277	B1		Barbee et al.	09/13/1988	
17	4,775,765	B1		Kimura et al.	10/04/1988	
18	4,816,811	B1		Bogatin et al.	03/28/1989	
19	4,875,378	B1		Yamazaki et al.	10/24/1989	
20	4,893,115	B1		Blanchard	01/09/1990	

## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 2 of 3

**Complete if Known**

<b>Application Number</b>	09/835040
<b>Filing Date</b>	04/13/2001
<b>First Named Inventor</b>	J. Roberts
Group Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	M0000-1001

## U.S. PATENT DOCUMENTS

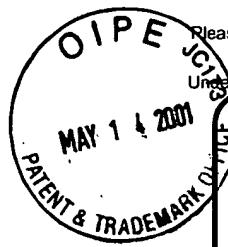
## FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered
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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 3 of 3

***Complete if Known***

<b>Application Number</b>	09/835040
<b>Filing Date</b>	04/13/2001
<b>First Named Inventor</b>	J. Roberts
Group Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	M0000-1001

#### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature		Date Considered	
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